

# Seth L. Kaplan, CFP<sup>®</sup>, CDFATM, CFFA

## Private Wealth Management



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### Industry Experience

- 14 years of experience as a Financial Advisor
- CERTIFIED FINANCIAL PLANNER<sup>TM</sup> practitioner
- Certified Divorce Financial Analyst<sup>TM</sup>
- Certified Forensic Financial Analyst

### Professional Affiliations

- Financial Planning Association (FPA)
- California CPA (CalCPA) Family Law Division
- National Association of Certified Valuation Analysts (NACVA)
- Institute for Divorce Financial Analysts (IDFA)
- Association of Divorce Financial Planners
- Sac County Bar Family Law
- Sacramento Collaborative Practice Group (SCPG)
- International Academy of Collaborative Professionals (IACP)

### Professional Recognitions

- 2010 FIVE STAR Wealth Manager recognition

### Services I Provide

- **Personal Financial Advisory Services:** budgeting and cash management, risk management, retirement planning, investment strategy, education funding, tax planning, estate planning, charitable gifting, etc.
- **Certified Divorce Financial Analyst Services:** Assist you (and your attorney/mediator) with divorce related financial services such as lifestyle and projected needs analysis, property division analysis, financial tracing, etc.

### Types of Clients I Work With

- Individuals, families and businesses with investible assets of \$500K or greater
- Individuals and families who benefit from comprehensive financial advisory services (integrating asset management, tax planning, estate planning, etc.)

### About Baird

Baird is an employee-owned wealth management, capital markets, asset management and private equity firm with offices and operating affiliates in the United States, Europe and Asia. Founded in 1919, Baird has approximately 2,600 associates serving the needs of individuals, corporate, institutional and municipal clients.

Baird oversees and manages client assets of nearly \$84 Billion. Committed to being a great place to work, Baird has been recognized as one of the FORTUNE "100 Best Companies to Work For" since 2004.

Certified Financial Planner Board of Standards Inc. owns the certification marks CFP<sup>®</sup>, CERTIFIED FINANCIAL PLANNER<sup>TM</sup> and federally registered CFP (with flame design) in the U.S., which it awards to individuals who successfully complete CFP Board's initial and ongoing certification requirements.

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Consideration for inclusion in *The FIVE STAR: Best in Client Satisfaction Wealth Manager Program* includes an analysis of consumers' responses to a client satisfaction survey. Only wealth managers with five years of experience in the financial services industry are considered. Additionally, the wealth managers who have made the list are required to certify that their license(s) has never been suspended or revoked by a regulating authority (e.g. FINRA or regulatory agency) and that they have not had more than three customer complaints filed against them with a regulating authority where at least one complaint resulted in a settlement payment by them.

The inclusion of a wealth manager on the FIVE STAR Wealth Manager list should not be construed as an endorsement of the wealth manager by Crescendo Business Services nor should it be inferred that the consumer responses used for the survey represent the experience of all clients. Past performance does not guarantee future success.